Towards a toolbox for cost-calculation in the pre-requirements phase

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Abstract. Efficient and precise estimation of project costs even before a project has started is a challenging task. Unfortunately, this is common practice for small and medium sized enterprises (SME) when applying for projects. Without budget (effort) and based on a minimal set of facts SME have to calculate a proposed project’s effort without having the confidence that the proposal will be granted. Therefore, in this paper the idea of a methodology is presented that consists out of a toolbox, leveraging on the one hand to make an efficient estimation and to present the facts on which decisions will be made as well. Using the principle of reuse, SME can use this toolbox to create mockups/prototypes out of the shelf along with an estimation of the project costs that are derived from the according experience factory. Efficiency, understandability of the feature scope, and understandability of the estimated costs will characterize the generated view on the project proposal.

Keywords: pre-contract cost estimation, tool supported bidding phase, requirements engineering, requirements toolbox

1 Motivation

Estimating costs during the requirements phase is a common and necessary step while producing software. In general there exist different established techniques that are either algorithmic or non-algorithmic [1]. All of these techniques have three aspects in common. First, there is (more or less) expert experience necessary in order to implement the technique. Second, the input to these techniques has to cover a significant level of detail (e.g., complexity of features, feature descriptions, composition of dialogs). Third, decision makers lack of understanding the scope of the provided features as well as the lack of understanding the relationship between provided features and estimated costs.

When deciding whether to apply for a request for proposal, there is too less information available to perform sound cost estimation. However, this is necessary in order to produce a realistic proposal. If bidding for a request small and medium size enterprises (SME) are often competing against each other. Thus, the estimated cost needs to be as realistic as possible.
Nowadays SME possess an increasing portfolio, which needs to be considered during the creation of a proposal. Nevertheless, they do not use nor have a standard procedure to create such a proposal for a new request. For each proposal they have to investigate in their archives of previous proposals to find relevant fragments that fit on the current request and are tailored using individual fragments. In some cases the proposal will be refined by adding prototype visualizations [2].

To tackle this problem Section 2 contains a description of the idea of a toolbox leveraging the out of the box composition of proposals, which is able to produce stakeholder-oriented views (e.g., costs, visualizations, or fact-sheets). Section 3 will elaborate the benefits that arise if using the proposed toolbox. In Section 4, a roadmap including the single steps to develop this toolbox will be presented.

Fig. 1. Draft of the solution idea.

2 Solution idea: Proposed Toolbox

In general the challenges mentioned above in the pre-project phase can be clustered as follows:

- Efficient elicitation of customer-specific requirements.
- Understandable preparation of the facts for decision makers.

The solution idea to approach these challenges can be clustered as follows:

- Tool-supported methodology for effective and efficient support during the pre-project phase.
- Semi-automatic generation of a project plan based on a mockup/prototype.
- Individual preparation of project facts for decision makers.
As depicted in Figure 1 the solution idea strongly relies on a dialogs or fragments of dialogs out of the shelf (Dialog DB). Major contribution is that these artefacts are used to create the proposal by selecting the desired subset of artefacts. This selection can be combined into a concrete mockup, rough prototype, or some storyboard without investing much effort. Based on previous experience, software development facts about the single artefacts are known and can be evaluated fully automatically. Knowing the entire mockup, the tool can calculate the costs of the designated project based on the data that is stored in the experience factory for each of the artefacts.

As a result, the toolbox cannot only determine the entire project cost, but can also provide an overview about additional project facts. These facts include a description about the features to be implemented (as a rationale for the calculated effort) as well as a plan to conduct the implementation phase. For example, this can even be refined in a way that the tool can propose some kind of Gantt chart reflecting intra-artefact relationships that need to be considered during the development. Basically all views can be provided in a way to support decision makers’ understandability of the feature scope as well as the total costs.

Prior to the selection of the artefacts, a lightweight RE process, e.g., involving specific creativity techniques, can be executed in order to have an input for the manual selection phase.

3 Assessment: Strengths and Weaknesses

Advantage of the proposed toolbox is that it reduces the effort that is needed to create a project proposal. This means that the overall project costs can be calculated based on previous experience. Further, all the necessary proposal facts can be summarized and displayed in different views. This is of great importance to the decision makers, who have to judge whether a project proposal reflects realistic costs and is feasible in the end.

Major disadvantage is that the initial experience factory needs to be created and also needs to be maintained over time. Another disadvantage is that by implementing the toolbox, assumable a smaller set of products (portfolio) can be offered, because the proposed project is build based on the known artefacts. Any additional tailoring will result in the same activities as without using the toolbox. Nevertheless, the amount of these traditional activities will be reduced anyway.

4 Related Work

Most of the traditional requirements engineering methodologies neglect the pre-project phase. Starting point of these methodologies is the circumstance that the project was granted and budget is available.

Despite that fact two process models exist that consider the pre-project phase: V-Model XT and CMMI. V-Model XT concentrates on the view of the client side (who is formulating the request for proposal) and neglects all aspects from the view of the contractor [3] [4]. The description of the CMMI standard is similar. There is space for
acquisition activities, but there is also a lack of the contractor’s point of view [5]. A first idea how a pre-project phase can be handled is described in [6]. The major focus of this work is on the handling of risks.

For eliciting requirements exist many techniques that can be considered for the scope of this work. These techniques can be clustered to:

- Interviews (e.g., conversation, structured interviews, workshops, focus groups, questionnaires)
- Creativity techniques (e.g., brainstorming, Walt Disney method, Osborn checklists)
- Observation techniques
- Analysis of existing documents and systems

Also, there exist a variety of different tools to create prototypes. Examples are Just-inmind [7], ProtoShare [8], Axure [9], or MockFlow [10], to name a few. All of these tools are able to create wireframes of the software to be developed as well as the navigation and relation between the single screens. Disadvantage of these tools is the inability to express the costs of finally implementing the wireframes within a software development project as well as the capability to explicitly benefit of reusing artefacts.

5 Conclusion and Future Work

To develop a sound methodology, the information need for these pre-contract and early project phases needs to be determined. To gather this information and in order to gain a deep understanding of the particular information needed in each phase on both business and client-side, workshops and interviews will be conducted with four SME located in Germany. Another outcome of this information gathering phase will be an understanding of the involved roles and used reference values. The collected information will be consolidated afterwards in order to identify commonalities and differences in the SME’s individual processes. A first outcome of this information gathering will be a generic process description including the relevant process steps, involved roles and reference values underlying the calculation.

In a second step, different types of visualization will be researched in order to find a suitable representation on which decision makers can rely on and use for their judgment (e.g., correct scope of features, calculated costs for these features).

Using the defined reference process from the first step and the visualization concept of the second step, a toolbox will be developed, which combines both and will address the overall project goal.

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6 References


